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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 09/784,392 Filing Date February 15, 2001 First Named Inventor PEDERSON, D. R. Art Unit 2162 Examiner Name Chongshan Chen

Attorney Docket Number 9417

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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (7 Incom)</sup>	Publication Date MM-OD-YYYY	Name of Putentise or Applicant of Cited Document	Pages, Columna, Lines, Where Relevant Passages or Relevant Figures Appear
/LW/		US- 5,884,327	03/16/1999	Cotner et al.	
/LW/		US- 6,038,587	3/14/2000	Phillips et al.	
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FOREIGN PATENT DOCUMENTS							
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Substitute for	form 1449B/PTO			Complete if Known		
				Application Number	09/784,392	
INFO	RMATION DI	SCLO	SURE	Filing Date	February 15, 2001	
STATEMENT BY APPLICANT			CANT	First Named Inventor	PEDERSON, D. R.	
				Art Unit	2162	
	(Use as many sheets as	necessary	,	Examiner Name	Chongshan Chen	
Sheet	2	of	2	Attorney Docket Number	9417	

HON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	trictude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-Issue number(s), publisher, city and/or country where published.	2			
/LW/		See 1 in Addendum	•			
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## Addendum

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- 2. GRAY, JIM, REUTER, ANDREAS: "Transaction Processing: Concepts and Techniques" 1994, MORGAN KAUFMANN, USA, XP002321996, Excerpt: pages 562-575 and 638-646 and 651-653, \* page 563, paragraph 4 page 573, last paragraph \* \* page 638, paragraph 3 page 639, paragraph 1 \* \* page 651, paragraph 1 page 652, paragraph 4 \*
- SAMARAS G ET AL: "Two-phase commit optimizations and tradeoffs in the commercial environment" PROCEEDINGS OF THE INTERNATIONAL CONFERENCE ON DATA ENGINEERING. VIENNA, APR. 19 - 23, 1993, LOS ALAMITOS, IEEE COMP. SOC. PRESS, US, vol. CONF. 9, 19 April 1993 (1993-04-19), pages 520-529, XP010095489 ISBN: 0-8186-3570-3 \* the whole document \*
- 4. AL-HOUMAILY Y J ET AL: "An atomic commit protocol for gigabit-networked distributed database systems" JOURNAL OF SYSTEMS ARCHITECTURE, ELSEVIER SCIENCE PUBLISHERS BV., AMSTERDAM, NL, vol. 46, no. 9, July 2000 (2000-07), pages 809-833, XP004205071 ISSN: 1383-7621 \* abstract \*